

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Application of: Hideki KAWAI  
For: GLASS SUBSTRATE FOR AN INFORMATION  
RECORDING MEDIUM AND INFORMATION  
RECORDING MEDIUM EMPLOYING IT  
U.S. Serial No.: To Be Assigned  
Confirmation No.: To Be Assigned  
Filed: Concurrently  
Group Art Unit: To Be Assigned  
Examiner: To Be Assigned

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

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I hereby certify that this paper or fee is being deposited with the  
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Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DERRICK T. GORDON

Name of Person Mailing Paper or Fee



Signature

February 23, 2004

Date of Signature

**INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant wishes to bring the following items to the attention of the Examiner. A copy of each document is enclosed for the convenience of the Examiner.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects its relative pertinence.

The following document is cited in the specification of the application:

- (1) Japanese Unexamined Published Application No. (A) 2000-076652,  
(HIDEYUKI SHIMOI et al), published March 14, 2000.

Applicant also wishes to bring to the attention of the Examiner the following document:


- (2) Shimoi et al, U.S. Patent Publication No. US 2001/0014573 A1, published August 16, 2001.

Document (2) is a counterpart to document (1).

As this Information Disclosure Statement is being filed concurrently with the new utility application, no fee is incurred. However, if it should be determined that a fee is required, please charge any required fee (other than the issue fee) during the pendency of this application to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,

By: \_\_\_\_\_



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February 23, 2004

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>				
				Application Number	To Be Assigned			
				Confirmation No.	To Be Assigned			
				Filing Date	Concurrently			
				First Inventor	Hideki KAWAI			
				Group Art Unit	To Be Assigned			
				Examiner Name	To Be Assigned			
Sheet	1	of	1	Attorney Docket No.	15162/05680			
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initials	Cite #	DOCUMENT NUMBER	C O D E	PATENTEE	PUBLISHED DATE (mm/dd/yy)	CLASS	SUB CLASS	Filing Date if Appropriate
	(2)	2001/0014573	A1	Shimoi et al	08/16/01	451	41	
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials		O F F I C E	NUMBER	C O D E	PUBLICATION DATE (mm/dd/yy)	TRANSLATION Yes          No		
	(1)	JP	2000-076652	A	03/14/00	ABSTRACT ONLY		
<b>NON PATENT LITERATURE DOCUMENTS</b>								
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published							
Examiner Signature					Date Considered			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.